

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

IN RE SMITH BARNEY TRANSFER AGENT LITIGATION	CIVIL ACTION No. 05 Civ. 7583 (WHP)
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**NOTICE OF MOTION FOR AN ORDER APPROVING THE FINAL DISTRIBUTION
OF THE NET SETTLEMENT FUND, PLAINTIFFS' CO-LEAD COUNSEL'S REQUEST
FOR POST FINAL SETTLEMENT HEARING FEES AND REIMBURSEMENT OF
EXPENSES RELATED TO ADMINISTERING THE SETTLEMENT**

PLEASE TAKE NOTICE that, upon the annexed the Declaration of Mark Levine, Memorandum of Law and Declaration of Melissa Baldwin, and all of the prior pleadings and proceedings had herein, Lead Plaintiff in the above-entitled securities class action (the "Action"), through Court-appointed Co-Lead Counsel, will move this Court before the Honorable William H. Pauley III, at the United States District Court for the Southern District of New York, 500 Pearl Street, New York, NY 10007, at a date and time to be set by the Court, for an order in the form of the accompanying proposed Class Distribution Order (the "Class Distribution Order"), providing for: (1) final approval of the claims administration process; (2) approval of the Recognized Loss of Class members, as calculated by RG/2 Claims Administration LLC ("RG/2 Claims"), who have filed properly completed claim forms no later than September 16, 2016 and those Class Members for whom RG/2 Claims or Co-Lead Counsel obtained sufficient transactional data to be able to determine a Class Members' Recognized Loss even if that Class Member did not submit a formal claim form, disapproval of claims that have been rejected by the claims administrator and Plaintiffs' Co-Lead Counsel, and directing final distribution of each Authorized Claimant's Recognized Loss amount from the Net Settlement Fund and then any remaining funds or unclaimed funds to be distributed to successors of certain Smith Barney Legacy Funds; (3) approval of transfer of the settlement fund from the CRIS account, after payment of any further

Court approved award of attorneys' fees or award to RG/2 Claims, to a qualified settlement fund at a branch of Huntington National Bank by way of check payable to Smith Barney Transfer Agent Litigation Settlement Fund for purposes of making distribution, (4) allowance of Stull, Stull & Brody's request for attorneys' fees incurred since the final fairness hearing conducted May 31, 2016, exclusively in administration and distribution of the Settlement Fund, (5) approval of the claims administrator's final fees and expenses incurred after December 24, 2015 for administering the settlement; (6) and such other relief as the Court may deem appropriate.

Dated: New York, New York
November 10, 2016

Respectfully submitted,

By: **STULL, STULL & BRODY**

By: 

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